

## **Welcome to the WINIR PhD School on “Institutions, Innovation and Societal Change”.**

This PhD School is organised by WINIR (World Interdisciplinary Network for Institutional Research) and Utrecht University's strategic theme 'Institutions for Open Societies'. We are proud to host participants from various continents, and look forward to an inspiring program.

After the course you will have gained an understanding of key research approaches to institutions in an international context and have applied this to issues of innovation and societal change.

We hope that you will enjoy the program and that you have an exciting and fruitful week in one of the oldest, most beautiful cities in the heart of the Netherlands!

Yours sincerely,

Rense Corten, Frank van Rijnsoever & Erik Stam

(the organizing committee)

## PROGRAM

The strength of societies is to a large extent determined by the quality of their institutions, the formal and informal frameworks for human interaction. These institutions enable or constrain the realisation of an open, democratic and equitable society. They also determine a society's ability to absorb shocks and its sustainability.

In this WINIR PhD school, scholars from the fields of economics, sociology, anthropology, innovation studies, media studies and management lecture on both classic and contemporaneous institutional research. Both scholars and PhD students come from multiple disciplines and continents, providing the opportunity to compare various perspectives on researching institutions.

In addition to the lectures, we also provide the opportunity to give feedback on all individual research projects of the participants and help you to incorporate the insights of the lectures and the research of the lecturers more broadly, in your projects. Finally, we want to contribute to building a research community in which new ideas are developed and potential new collaborations emerge.

This course takes place on three days. On the first day, we focus on institutions, innovation and entrepreneurship. The second day focusses on the role institutions play in societal change. The last half day is dedicated to the role institutions play in sustainability. The participants receive a certificate when they have actively participated in the course. The course covers the equivalence of 2 ECTS (European Credit Transfer System).

<b>Room</b>	<b>Tuesday</b> Spinoza 010		<b>Wednesday</b> Spinoza 010		<b>Thursday</b> Spinoza 106
<b>9.30-10.00</b>	Coffee and Registration	<b>9.30-10.45</b>	The Changing Government-business Relationship in China and Its Impact to the World	<b>9.30-10.45</b>	Sustainability, and Governance
<b>10.00-10.15</b>	Welcome		(Peng Lu)		(Lin Hong)
<b>10.15-11.15</b>	Introduction Institutions (Erik Stam)				
<b>11.15-11.30</b>	<i>Break</i>	<b>10.45-11.00</b>	<i>Break</i>	<b>10.45-11.00</b>	<i>Break</i>
<b>11.30-12.45</b>	Innovation systems and organization  (Frank Van Rijnsoever)	<b>11.00-12.15</b>	Social Policy Innovation and Inclusive Growth in China  (Yang Dian)	<b>11.00-12.00</b>	Consultations  Johanna Mair and Juliet Schor
<b>12-45-13.45</b>	<i>Lunch</i>	<b>12.15-13.15</b>	<i>Lunch</i>	<b>12.00-13.00</b>	<i>Lunch</i>
<b>14.00-15.15</b>	Institutional innovation  (Frank van Rijnsoever)	<b>13.15-14.30</b>	Cooperation and Sharing  (Rense Corten)		
<b>15.15-15.30</b>	<i>Break</i>	<b>14.30-14.45</b>	<i>Break</i>		
<b>15.30-16.45</b>	Entrepreneurship  (Erik Stam)	<b>14.45-16.00</b>	Platform Society  (José van Dijck)		
		<b>16.00-17.00</b>	Consultations		
<b>17.30</b>	BBQ + Canoeing	<b>19.00</b>	Dinner		

## Consultations

During the course there are opportunities for consultations with the lecturers or other specialists in the domain of institutions. Students can ask for a 20 minutes consultation to discuss their research project. If you are interested in a consultation, you can send an email to Rense Corten (r.corten@uu.nl), with a short motivation (50 words) for why you want to discuss your topic with the selected scholar. The consultations take place on Wednesday from 4 to 5 PM, and on Thursday from 11 to 12 AM.

The following persons are available during the following moments:

<b>Specialist</b>	<b>Wednesday 4-5 PM</b>	<b>Thursday 11-12 AM</b>
Rense Corten	X	X
José van Dijck	X	
Lin Hong	X	X
Peng Lu	X	X
Johanna Mair		X
Juliet Schor		X
Erik Stam	X	X
Dian Yang	X	X

## Reading list

There are two types of literature: compulsory and recommended. You are expected to have read the compulsory literature (marked with \*) prior to the session. Based on this literature we aim for an interactive discussion.

### Introduction (Erik Stam):

Acemoglu, D., Johnson, S., & Robinson, J. A. (2005). Institutions as a fundamental cause of long-run growth. *Handbook of economic growth*, 1, 385-472.

\*Hodgson, G.M. (2006) What Are Institutions?, *Journal of Economic Issues*, 40:1, 1-25.

\*Nelson, R. R. (2008). What enables rapid economic progress: What are the needed institutions?. *Research Policy*, 37(1), 1-11.

North, D. C. (1990). *Institutions, institutional change and economic performance*. Cambridge: Cambridge University Press.

Scott, W. R. (2001). *Institutions and organizations*. Thousand Oaks: Sage.

Voigt, S. (2013). How (not) to measure institutions. *Journal of Institutional Economics*, 9(1), 1-26.

\*Williamson, O. E. (2000). The new institutional economics: taking stock, looking ahead. *Journal of economic literature*, 38(3), 595-613.

### Entrepreneurship (Erik Stam):

\*Baumol, W. (1990). Productive, unproductive and destructive entrepreneurship. *Journal of Political Economy*.

\*Bjørnskov, C., & Foss, N. J. (2016). Institutions, Entrepreneurship, and Economic Growth: What Do We Know and What Do We Still Need to Know? *The Academy of Management Perspectives*, 30(3), 292-315.

Boettke, P. J., & Coyne, C. J. (2009). Context matters: Institutions and entrepreneurship. *Foundations and Trends® in Entrepreneurship*, 5(3), 135-209.

Henrekson, M., & Sanandaji, T. (2011). The interaction of entrepreneurship and institutions. *Journal of Institutional Economics*, 7(1), 47-75.

### Innovation systems and organization (Frank van Rijnsoever):

\*Hekkert, M.P., Suurs, R.A.A., Negro, S.O., Kuhlmann, S., Smits, R.E.H.M. (2007) Functions of innovation systems: A new approach for analysing technological change. *Technol. Forecast. Soc. Change* 74, 413–432.

Carlsson, B., Jacobsson, S., Holmén, M., Rickne, A. (2002) Innovation systems: analytical and methodological issues. *Research Policy* 31, 233–245.

\*Van Mossel, A., Van Rijnsoever, F.J., Hekkert, M.P. (2017) Navigators through the storm: A review of organization theories and the behavior of incumbent firms during transitions. *Environmental Innovation and Societal Transitions*.

**Institutional innovation (Frank van Rijnsoever) :**

\*Battilana, J., Leca, B., Boxenbaum, E. (2009) How Actors Change Institutions: Towards a Theory of Institutional Entrepreneurship. *Academy of Management Annals* 3, 65–107.

Dorado, S. (2005) Institutional entrepreneurship, partaking, and convening. *Organisation Studies* 26, 385–414.

**The Changing Government-business Relationship in China and Its Impact to the World (Peng Lu):**

Bullard, M. R. (1979). People's Republic of China Elite Studies: A Review of the Literature. *Asian Survey*, 19(8), 789-800.

\*Dickson, B. J. (2007). Integrating wealth and power in China: the communist party's embrace of the private sector. *The China Quarterly*, 192, 827-854.

\*Dickson, B. J. (2000). Cooptation and corporatism in China: The logic of party adaptation. *Political Science Quarterly*, 115(4), 517-540.

Goodman, D.S.G. (2010) *New Economic Elites: The social basis of local power*

**Social Policy Innovation and Inclusive Growth in China (Yang Dian):**

\*Hall, P. & Lamont, M. (2009) Introduction. p.1-22, in: *Successful Societies. How Institutions and Culture affect Health*. Cambridge University Press

Mok, K. H. (2005). *Governing through Governance: Changing Social Policy Paradigms in the Post-Mao People's Republic of China. The Role of Public Administration in Alleviating Poverty and Improving Governance*.

World Economic Forum (2017) *The Inclusive Growth and Development Report 2017*.

\*Xiong, Y. (2009). Social change and social policy in China: national adaptation to global challenge. *International Journal of Japanese Sociology*, 18(1), 33-44.

Zhao, L. & Lim, T.S. (2009). Introduction. p. 1-10, in: *China's new social policy: initiatives for a harmonious society*. World Scientific Publishing.

**Cooperation and sharing (Rense Corten):**

- \*Raub, W., V. W. Buskens, and R. Corten. 2015. "Social Dilemmas and Cooperation." In *Handbuch Modellbildung Und Simulation in Den Sozialwissenschaften*, edited by Norman Braun and Nicole J. Saam, 597–626. Wiesbaden: Springer.
- \*Frenken, K., and J. Schor (2017) Putting the Sharing Economy into Perspective. *Environmental Innovation and Societal Transitions*. doi:10.1016/j.eist.2017.01.003.
- Ostrom, E. (2005). "Understanding institutional diversity (Vol. 241)". Princeton, NJ: Princeton University Press.
- Botsman, R., & Rogers, R. (2010). "What's mine is yours. The rise of collaborative consumption".
- Bolton, G. E., Katok, E., & Ockenfels, A. (2004). How effective are electronic reputation mechanisms? An experimental investigation. *Management Science*, 50(11), 1587-1602.

**Platform Society (José van Dijck):**

- Plantin, J-P, Lagoze, C., Edwards, PN & Sandvig, C (2016) Infrastructure studies meet platform studies in the age of Google and Facebook. *New Media & Society*.  
<http://journals.sagepub.com/doi/10.1177/1461444816661553>
- \*Stabrowski, F. (2017) 'People as businesses': Airbnb and urban micro- entrepreneurialism in New York City. *Cambridge Journal of Regions, Economy and Society* 10 (2): 327-347. DOI:  
<https://doi.org/10.1093/cjres/rsx004>
- Dolata, U. (2017). Apple, Amazon, Google, Facebook, Microsoft. Market concentration— Competition-Innovation Strategies. *Research Contributions to Organizational Sociology and Innovation studies*. Stuttgart: University of Stuttgart. 1-31. Available at: <http://www.uni-stuttgart.de/soz/oi/publikationen/Dolata.2017.Apple.Amazon.Google.Facebook.Microsoft.pdf>

**Sustainability and Governance (Lin Hong):**

- Jia, Xijin (2007) An analysis of NGO avenues for civil participation in China. *Social sciences in China : Journal of the Chinese Academy of Sciences* 28(2):137-146
- Shapiro, M. A., & Gottschall, K. (2011). Northeast Asian environmentalism: policies as a function of ENGOs. *Asian Politics & Policy*, 3(4), 551-567.
- \*Xie, L. (2011). China's environmental activism in the age of globalization. *Asian Politics & Policy*, 3(2), 207-224.
- \*Zhan, X., & TANG, S. Y. (2013). Political opportunities, resource constraints and policy advocacy of environmental NGOs in China. *Public Administration*, 91(2), 381-399.

## Lecturers

### RENSE CORTEN

*Associate Professor*

**Social Sciences – Sociology**



Rense Corten is Associate Professor at the Department of Sociology. His research revolves around the themes of cooperation, trust, and (the dynamics of) social networks, with empirical applications including adolescent networks, social media, the sharing economy, online criminal networks, and laboratory experiments. In 2016 he received a NWO Vidi grant for a research project on the origins and consequences of trust in the sharing economy.

### JOSÉ VAN DIJCK

*Distinguished University Professor*

**Institute for Cultural Inquiry (ICON), Utrecht University**



José van Dijck has been appointed a distinguished University Professor at Utrecht University as of January 2017. Van Dijck worked at the Universities of Groningen and Maastricht before she became a full professor at the University of Amsterdam in 2001. She served as Chair of the Department of Media Studies from 2002-2006, and was the Dean of the Faculty of Humanities at the University of Amsterdam between 2008 and 2012. In 2015 she was elected President of the Royal Netherlands Academy of Arts and Sciences where she will serve a 3-year term until June 2018. José van Dijck's research area is media studies and communication. Her work covers a wide range of topics in media theory, media technologies, social media, and digital culture.

## LIN HONG

*Assistant Professor*

**Institute of Sociology - Chinese Academy of Social Sciences**



Hong is Assistant Professor at the Institute of Sociology of Chinese Academy of Social Sciences, and law & policy advocacy consultant for the Friends of Nature which is the earliest environmental non-governmental organization in China. She received a doctorate in social-cultural anthropology at Peking University in 2010, and worked at the International Labor Office (Beijing Office) for about three years, focusing on HIV/AIDS related applied research and training. During doctoral and postdoctoral research periods, she has accomplished two long-term fieldworks, including one in the environmental non-governmental organization Friends of Nature (2013.11-present), and another field in Sunan Yugu Minority Autonomous Region of Gansu province (2008.2-present). Her research interest covers environmental-social governance, individual and social group identity. She has published more than 20 papers on related topics in the Journal of Social Development, Journal of Chinese Environment, and Journal of Xinjiang Normal University etc.

## PENG LU

*Associate Professor*

**Institute of Sociology - Chinese Academy of Social Sciences**



LU studied at Tsinghua University and Yale University till 2010 and worked at New York University Abu Dhabi from 2011-2012 as a post-doctoral research associate. His research interests include business-government relationship, Chinese entrepreneurship, and comparative studies in post-communist and new emerging economies. He is also the managing director of the 'Chinese Private Entrepreneur Survey', a nationwide survey on Chinese private economy since 1993.

## **JOHANNA MAIR**

*Professor*

**Hertie School of Governance**



Mair her research focuses on how novel organisational and institutional arrangements generate economic and social development. She has held visiting positions at Harvard Business School and INSEAD. Her research is published in leading scholarly journals. She serves on the board of foundations and organisations and advises companies, governments and social impact investors on social innovation. Before earning her PhD in management from INSEAD, she was involved in executive decision-making in international banking. From 2001 to 2011, she was a member of the Strategic Management faculty at IESE Business School in Madrid. In 2008, the Aspen Institute recognised her as a "Faculty Pioneer" in Social Entrepreneurship Education.

## **FRANK VAN RIJNSOEVER**

*Assistant Professor*

**Copernicus Institute of Sustainable Development, Utrecht University**



Frank van Rijnsoever is Assistant Professor Innovation Studies at the Copernicus Institute of Sustainable Development, Utrecht University. His research focuses on the behavioural aspects related to innovation processes, coordinating societal change, and sustainability. Van Rijnsoever is involved in the study programme Science and Innovation Management at Utrecht University. He is predominantly interested in the (societal) workings of innovation processes. His scientific expertise centres around consumer and organisational behaviour, the interaction between university and industry, choice (experiments), innovations and their public acceptance, social networks and entrepreneurship.

## **JULIET SCHOR**

*Professor*

**Boston College**



Schor's research focuses on consumption, time use, and environmental sustainability. A graduate of Wesleyan University, Schor received her Ph.D. in economics at the University of Massachusetts. Before joining Boston College, she taught at Harvard University for 17 years, in the Department of Economics and the Committee on Degrees in Women's Studies. In 2014 Schor received the American Sociological Association's award for Public Understanding of Sociology.

As part of her work with the MacArthur Foundation, Schor is currently researching the "connected economy," via a series of case studies of sharing platforms and their participants. She is also studying the relation between working hours, inequality and carbon emissions.

## **ERIK STAM**

*Professor*

**Utrecht University School of Economics**



Erik Stam is Professor in Strategy, Organization & Entrepreneurship and head of the Entrepreneurship Section at the Utrecht University School of Economics. He held positions at Erasmus University Rotterdam, the University of Cambridge, the Max Planck Institute of Economics, and the Netherlands Scientific Council for Government Policy. He has been visiting professor at Indiana University, Leibniz Universität Hannover, University of Turku, Hitotsubashi University Tokyo, University of Oxford, Tel Aviv University and Zhejiang University. He is editor of *Small Business Economics*.

He is interested into how socio-economic contexts (at the societal and organizational level) affect new value creation by individuals, and the consequences of this entrepreneurial behavior for the performance of firms and society.

## DIAN YANG

*Associate Professor*

**Institute of Sociology - Chinese Academy of Social Sciences**



Yang has a PhD in Sociology from Harvard University (2010), and has been a visiting Scholar at the Directorate General for Employment, Social Affairs and Inclusion of the European Commission, and Consultant for the Project on Corporate Governance and Business Environment in China, of the Organization for Economic Co-operation and Development (OECD). He has been vice Chairman of the urban sociology section and Deputy secretary-general of the economic sociology section of the Chinese Sociological Association. His research interest covers economic sociology and organizational sociology, with empirical interests in the areas of corporate governance, corporate strategy, corporate social responsibility and financial markets, as well as social inequality and social policy.

## Venue



### PhD School @ University College Utrecht (UCU) Campus

The UCU Campus is situated in Utrecht, close to Utrecht University's campus terrain De Uithof, and close to all university buildings in the historical parts of Utrecht. The PhD school is taking place at the U.S.E. Spinoza Hall- see red arrow.

#### Rooms

Tuesday: Spinoza 010  
 Wednesday: Spinoza 010  
 Thursday: Spinoza 106

## **Directions UCU**

### **BY TRAIN**

If you plan to travel by train you can plan your trip here: [www.ns.nl](http://www.ns.nl). On this website you can also find more information on departure and arrival times, platform numbers and national and international train connections. You can also visit [www.9292.nl](http://www.9292.nl) for travel information on the complete Dutch public transport system.

#### ***From Schiphol to Utrecht***

The easiest way to travel from Schiphol Airport to Utrecht Centraal station is by train. There is a direct service every 15 minutes and the travel time is 32 minutes. A one-way ticket costs € 8,70.

### **BY BUS - UTRECHT CENTRAAL STATION TO UCU**

From Utrecht Centraal station to UCU on week days

At Utrecht Centraal station take bus line 12, direction Uithof UMC AZU. Get off at bus stop De Kromme Rijn (see map on the left: bus stop at "A") and take a right: Platolaan. Follow the road and after five minutes find the entrance to the International Campus Utrecht on your left-hand side.

For more public transport information visit [www.9292.nl](http://www.9292.nl).

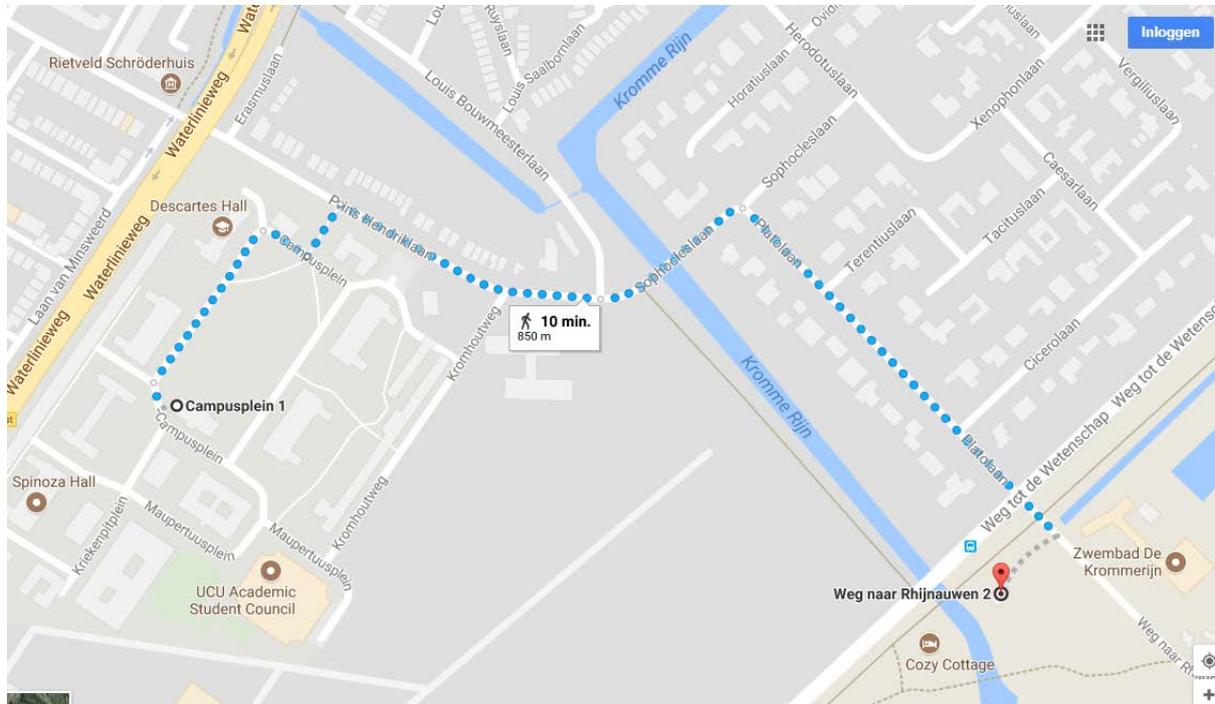
### **BY CAR**

UCU's Campus is easily accessible using public transport, but in case you wish to visit by car, we advise you to plan your journey using Google Maps. Click on the link on the map below to find directions. There are parking spots on campus, but note that it can be difficult to find a free spot during busy events such as open days.

### **BY TAXI**

If you decide to take a taxi to our campus, make sure you mention that we are on the international campus of Utrecht University, the former Kromhout military base. We are at a different location from the regular campus of Utrecht University and that can be confusing.

## Tuesday evening: BBQ & Canoeing



From 17.30 hrs, you are welcome at the Rijnstroom – see map - to start the evening programme with a barbecue. This location is a 10-minute walk from the UCU campus. Around 19.15 hrs, the canoe-instruction will start. Please indicate if you cannot swim or are an inexperienced swimmer: you will be provided with a life vest. Experience with canoeing is not necessary: the route will be downstream and is not particularly straining.

Between 21.00-21.30 hrs you will arrive at the Weertsluis in the city centre, where you can continue the evening at one of the many terraces and bars Utrecht has to offer.

## Wednesday evening: diner at the Colour Kitchen

The dinner will start at 19.00 hrs at the Colour Kitchen, Oudegracht 214, Utrecht (city centre).

## Facebook group

Lyubov Artemenko, one of the PhD participants, made a Facebook group. This so you also have a place online to connect and exchange during the PhD School and WINIR conference. Please go to:

<https://www.facebook.com/events/289163031515307>